

Return of Organization Exempt From Income Tax

2007

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2007 calendar year, or tax year beginning 2007, and ending 20

B Check if applicable:
 Address change
 Name change
 Initial return
 Termination
 Amended return
 Application pending

C Name of organization: **CARTHA**
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite: **33 Buchanan Court**
 City or town, state or country, and ZIP + 4: **Iowa City, IA 52246-4739**

D Employer identification number: **20 5547610**

E Telephone number: **(319) 248-9625**

F Accounting method: Cash Accrual
 Other (specify) ▶

G Website: ▶ www.cartha.org

J Organization type (check only one) ▶ 501(c) (**3**) ◀ (insert no.) 4947(a)(1) or 527

K Check here if the organization is not a 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **1322**

M Check if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF).

H and I are not applicable to section 527 organizations.
H(a) Is this a group return for affiliates? Yes No
H(b) If "Yes," enter number of affiliates ▶ _____
H(c) Are all affiliates included? Yes No (If "No," attach a list. See instructions.)
H(d) Is this a separate return filed by an organization covered by a group ruling? Yes No
I Group Exemption Number ▶ _____

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions.)

		(A) Securities		(B) Other			
Revenue	1 Contributions, gifts, grants, and similar amounts received:						
	a Contributions to donor advised funds						
	b Direct public support (not included on line 1a)					170	
	c Indirect public support (not included on line 1a)						
	d Government contributions (grants) (not included on line 1a)					0	
	e Total (add lines 1a through 1d) (cash \$ _____ noncash \$ _____)					170	
	2 Program service revenue including government fees and contracts (from Part VII, line 93)					1152	
	3 Membership dues and assessments					0	
	4 Interest on savings and temporary cash investments					0	
	5 Dividends and interest from securities					0	
	6a Gross rents					0	
	b Less: rental expenses					0	
c Net rental income or (loss). Subtract line 6b from line 6a					0		
7 Other investment income (describe ▶ _____)					0		
8a Gross amount from sales of assets other than inventory							
b Less: cost or other basis and sales expenses							
c Gain or (loss) (attach schedule)							
d Net gain or (loss). Combine line 8c, columns (A) and (B)					0		
9 Special events and activities (attach schedule). If any amount is from gaming, check here <input type="checkbox"/>							
a Gross revenue (not including \$ _____ of contributions reported on line 1b)					0		
					0		
b Less: direct expenses other than fundraising expenses					0		
c Net income or (loss) from special events. Subtract line 9b from line 9a					0		
10a Gross sales of inventory, less returns and allowances					0		
					0		
c Gross profit or (loss) from sales of inventory (attach schedule). Subtract line 10b from line 10a					0		
11 Other revenue (from Part VII, line 103)							
12 Total revenue. Add lines 1e, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11					1322		
Expenses	13 Program services (from line 44, column (B))					2686	
	14 Management and general (from line 44, column (C))					17822	
	15 Fundraising (from line 44, column (D))					0	
	16 Payments to affiliates (attach schedule)					0	
	17 Total expenses. Add lines 16 and 44, column (A)					20508	
Net Assets	18 Excess or (deficit) for the year. Subtract line 17 from line 12					-19186	
	19 Net assets or fund balances at beginning of year (from line 73, column (A))					-9157	
	20 Other changes in net assets or fund balances (attach explanation)					0	
	21 Net assets or fund balances at end of year. Combine lines 18, 19, and 20					-28343	

Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See the instructions.)

<i>Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.</i>	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising	
22a Grants paid from donor advised funds (attach schedule) (cash \$ _____ noncash \$ _____) If this amount includes foreign grants, check here <input type="checkbox"/>	22a				
22b Other grants and allocations (attach schedule) (cash \$ _____ noncash \$ _____) If this amount includes foreign grants, check here <input type="checkbox"/>	22b				
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25a Compensation of current officers, directors, key employees, etc. listed in Part V-A	25a				
b Compensation of former officers, directors, key employees, etc. listed in Part V-B	25b				
c Compensation and other distributions, not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	25c				
26 Salaries and wages of employees not included on lines 25a, b, and c	26				
27 Pension plan contributions not included on lines 25a, b, and c	27				
28 Employee benefits not included on lines 25a – 27	28				
29 Payroll taxes	29				
30 Professional fundraising fees	30				
31 Accounting fees	31	6525	0	6525	0
32 Legal fees	32	855	0	855	0
33 Supplies	33	616	33	583	0
34 Telephone	34	831	0	831	0
35 Postage and shipping	35	118	0	118	0
36 Occupancy	36				
37 Equipment rental and maintenance	37				
38 Printing and publications	38	2928	0	2928	0
39 Travel	39	3532	2453	1079	0
40 Conferences, conventions, and meetings	40	460	200	260	0
41 Interest	41				
42 Depreciation, depletion, etc. (attach schedule)	42				
43 Other expenses not covered above (itemize):					
a <u>Business consulting fees</u>	43a	4258	0	4258	0
b <u>Membership dues</u>	43b	385	0	385	0
c _____	43c				
d _____	43d				
e _____	43e				
f _____	43f				
g _____	43g				
44 Total functional expenses. Add lines 22a through 43g. (Organizations completing columns (B)–(D), carry these totals to lines 13–15)	44	20508	2686	17822	0

Joint Costs. Check if you are following SOP 98-2.

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No
 If "Yes," enter (i) the aggregate amount of these joint costs \$ _____; (ii) the amount allocated to Program services \$ _____;
 (iii) the amount allocated to Management and general \$ _____; and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments (See the instructions.)

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

What is the organization's primary exempt purpose? ► See Attachment 1	Program Service Expenses
All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)	(Required for 501(c)(3) and (4) orgs., and 4947(a)(1) trusts; but optional for others.)
<p>a CARTHA Founder Usha Balakrishnan serves on the Committee on Scientific Freedom & Responsibility for the AAAS (American Association for the Advancement of Science). She participated in two Committee meetings (one in San Francisco in February 2007 and another in Washington DC in October 2007). AAAS reimbursed CARTHA for travel-related expenses incurred for participation at these two meetings (see Line 93a). Other program expenses relate to planning activities for a symposium in 2008 and 2009. (Grants and allocations \$ _____) If this amount includes foreign grants, check here ► <input type="checkbox"/></p>	1247
<p>b CARTHA Founder Usha Balakrishnan organized the program for the annual meeting of the Technology Managers for Global Health held in San Francisco in March 2007. Because of her role as founder of the Technology Managers for Global Health, she was invited to participate in a mtg. organized by the Bill & Melinda Gates Foundation in October 2007 in Washington DC. The Gates Foundation reimbursed CARTHA for travel-related expenses (see Line 93b). (Grants and allocations \$ _____) If this amount includes foreign grants, check here ► <input type="checkbox"/></p>	1239
<p>c CARTHA was invited, and accepted, to join as a nonprofit organization Charter Member of the Information Integrity Coalition to support the Excellence in Information Integrity Award Program and CARTHA's participation in this program to be held in Chicago in October 2008. (Grants and allocations \$ _____) If this amount includes foreign grants, check here ► <input type="checkbox"/></p>	200
<p>d _____ _____ _____ _____ _____ (Grants and allocations \$ _____) If this amount includes foreign grants, check here ► <input type="checkbox"/></p>	
<p>e Other program services (attach schedule) (Grants and allocations \$ _____) If this amount includes foreign grants, check here ► <input type="checkbox"/></p>	
<p>f Total of Program Service Expenses (should equal line 44, column (B), Program services), ►</p>	2686

Part IV Balance Sheets (See the instructions.)

Note: Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

		(A) Beginning of year		(B) End of year
Assets	45 Cash—non-interest-bearing	3347	45	2802
	46 Savings and temporary cash investments		46	
	47a Accounts receivable	47a		
	b Less: allowance for doubtful accounts	47b	47c	
	48a Pledges receivable	48a		
	b Less: allowance for doubtful accounts	48b	48c	
	49 Grants receivable		49	
	50a Receivables from current and former officers, directors, trustees, and key employees (attach schedule)		50a	
	b Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) (attach schedule)		50b	
	51a Other notes and loans receivable (attach schedule)	51a		
	b Less: allowance for doubtful accounts	51b	51c	
	52 Inventories for sale or use		52	
	53 Prepaid expenses and deferred charges		53	
	54a Investments—publicly-traded securities <input type="checkbox"/> Cost <input type="checkbox"/> FMV		54a	
	b Investments—other securities (attach schedule) <input type="checkbox"/> Cost <input type="checkbox"/> FMV		54b	
	55a Investments—land, buildings, and equipment: basis	55a		
	b Less: accumulated depreciation (attach schedule)	55b	55c	
	56 Investments—other (attach schedule)		56	
	57a Land, buildings, and equipment: basis	57a		
b Less: accumulated depreciation (attach schedule)	57b	57c		
58 Other assets, including program-related investments (describe ▶ _____)		58		
59 Total assets (must equal line 74). Add lines 45 through 58	3347	59	2802	
Liabilities	60 Accounts payable and accrued expenses	1408	60	49
	61 Grants payable		61	
	62 Deferred revenue		62	
	63 Loans from officers, directors, trustees, and key employees (attach schedule)	11096	63	31096
	64a Tax-exempt bond liabilities (attach schedule)		64a	
	b Mortgages and other notes payable (attach schedule)		64b	
	65 Other liabilities (describe ▶ _____)		65	
66 Total liabilities. Add lines 60 through 65	12504	66	31145	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.			
	67 Unrestricted		67	
	68 Temporarily restricted		68	
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74.			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds	-9157	72	-28343
73 Total net assets or fund balances. Add lines 67 through 69 or lines 70 through 72. (Column (A) must equal line 19 and column (B) must equal line 21)	-9157	73	-28343	
74 Total liabilities and net assets/fund balances. Add lines 66 and 73	3347	74	2802	

Part IV-A Reconciliation of Revenue per Audited Financial Statements With Revenue per Return (See the instructions.)

a	Total revenue, gains, and other support per audited financial statements		a	
b	Amounts included on line a but not on Part I, line 12:			
1	Net unrealized gains on investments	b1		
2	Donated services and use of facilities	b2		
3	Recoveries of prior year grants	b3		
4	Other (specify):	b4		
	Add lines b1 through b4		b	
c	Subtract line b from line a		c	
d	Amounts included on Part I, line 12, but not on line a :			
1	Investment expenses not included on Part I, line 6b	d1		
2	Other (specify):	d2		
	Add lines d1 and d2		d	
e	Total revenue (Part I, line 12). Add lines c and d ▶		e	

Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

a	Total expenses and losses per audited financial statements		a	
b	Amounts included on line a but not on Part I, line 17:			
1	Donated services and use of facilities	b1		
2	Prior year adjustments reported on Part I, line 20	b2		
3	Losses reported on Part I, line 20	b3		
4	Other (specify):	b4		
	Add lines b1 through b4		b	
c	Subtract line b from line a		c	
d	Amounts included on Part I, line 17, but not on line a :			
1	Investment expenses not included on Part I, line 6b	d1		
2	Other (specify):	d2		
	Add lines d1 and d2		d	
e	Total expenses (Part I, line 17). Add lines c and d ▶		e	

Part V-A Current Officers, Directors, Trustees, and Key Employees (List each person who was an officer, director, trustee, or key employee at any time during the year even if they were not compensated.) (See the instructions.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-.)	(D) Contributions to employee benefit plans & deferred compensation plans	(E) Expense account and other allowances
David B. Audretsch, Max Planck Institute of Econo Kahlaische Strasse 10, D-07745 Jena, Germany	Board Member (until Sep07), <0.5 hrs/week	0	0	0
Usha R. Balakrishnan 33 Buchanan Court, Iowa City, IA 52246	President/CEO/Board Chair, 35-40 hrs/week	0	0	0
D. Balasubramanian, L.V. Prasad Eye Institute, Road #2, Banjara Hills, Hyderabad 500034, India	Board Member, less than 0.5 hrs/week	0	0	0
Charles A. Gardner 7 Judson Avenue, Ardsley, NY 10502	Board Member, less than 0.5 hrs/week	0	0	0
George W. Krull 26 W 334 Menomoni Drive, Wheaton, IL 60187	Board/Audit Comm Chair, <1.5 hrs/week	0	0	0
Yvonne Owuor, Diju Investments, P.O. Box 52224-00200, Nairobi, Kenya	Board Member, less than 0.5 hrs/week	0	0	0
Mark Sidel, University of Iowa College of Law, 475 Boyd Law Building, Iowa City, IA 52242	Board Member-less than 0.5 hrs/week	0	0	0
Marjorie A. Maguire-Krupp 7 Marsh Tower Lane, Savannah, GA 31411-1600	VP & COO (until Aug07), <2 hrs/week	0	0	0
Lisa Spellman 4677 Running Deer Woods NE, Iowa City, IA 52240	VP Marketing (until Aug07), <2 hrs/week	0	0	0

Part VI Other Information (continued) Yes No

82a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a		✓	
b If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.)	82b	0		
83a Did the organization comply with the public inspection requirements for returns and exemption applications?	83a		✓	
b Did the organization comply with the disclosure requirements relating to <i>quid pro quo</i> contributions?	83b		✓	
84a Did the organization solicit any contributions or gifts that were not tax deductible?	84a			✓
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b			
85a 501(c)(4), (5), or (6). Were substantially all dues nondeductible by members?	85a			
b Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.	85b			
c Dues, assessments, and similar amounts from members	85c			
d Section 162(e) lobbying and political expenditures	85d			
e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e			
f Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f			
g Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	85g			
h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h			
86 501(c)(7) orgs. Enter: a Initiation fees and capital contributions included on line 12	86a			
b Gross receipts, included on line 12, for public use of club facilities	86b			
87 501(c)(12) orgs. Enter: a Gross income from members or shareholders	87a			
b Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)	87b			
88a At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88a			
b At any time during the year, did the organization, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Part XI	88b			
89a 501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under: section 4911 ▶ 0 ; section 4912 ▶ 0 ; section 4955 ▶ 0				
b 501(c)(3) and 501(c)(4) orgs. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b			✓
c Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		0		
d Enter: Amount of tax on line 89c, above, reimbursed by the organization		0		
e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction?	89e			✓
f All organizations. Did the organization acquire a direct or indirect interest in any applicable insurance contract?	89f			✓
g For supporting organizations and sponsoring organizations maintaining donor advised funds. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	89g			✓
90a List the states with which a copy of this return is filed ▶ <u>None</u>				
b Number of employees employed in the pay period that includes March 12, 2007 (See instructions.)	90b	0		
91a The books are in care of ▶ <u>Usha R. Balakrishnan</u> Telephone no. ▶ <u>(319) 248-9625</u> Located at ▶ <u>33 Buchanan Court, Iowa City, IA</u> ZIP + 4 ▶ <u>52246-4739</u>				
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	91b			✓
If "Yes," enter the name of the foreign country ▶ _____				
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 , Report of Foreign Bank and Financial Accounts.				

Part VI Other Information (continued)

c At any time during the calendar year, did the organization maintain an office outside of the United States? **91c** Yes No

If "Yes," enter the name of the foreign country ▶ _____

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the tax year ▶ **92** | _____

Part VII Analysis of Income-Producing Activities (See the instructions.)

Note: Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue:					
a <u>Travel reimbursement-AAAS Committee</u>					903
b <u>Travel reimbursement-Gates Foundation</u>					249
c _____					
d _____					
e _____					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments					
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
104 Subtotal (add columns (B), (D), and (E))					1152
105 Total (add line 104, columns (B), (D), and (E)) ▶					1152

Note: Line 105 plus line 1e, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See the instructions.)

Line No. ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
93a	<u>CARTHA planned out a thought leadership panel titled "Humanity at the Nexus: Academic Partnerships and Entrepreneurship in Global Health" at the AAAS 2008 Annual Meeting.</u>
93b	<u>CARTHA organized the program of the Technology Managers for Global Health meeting in Spring 2008.</u>

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See the instructions.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See the instructions.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).

Part XI Information Regarding Transfers To and From Controlled Entities. Complete only if the organization is a controlling organization as defined in section 512(b)(13).

106 Did the reporting organization **make** any transfers to a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

Yes	No
	✓

	(A) Name, address, of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer
a	----- -----			
b	----- -----			
c	----- -----			
Totals				

107 Did the reporting organization **receive** any transfers from a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

Yes	No
	✓

	(A) Name, address, of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer
a	----- -----			
b	----- -----			
c	----- -----			
Totals				

108 Did the organization have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in question 107 above?

Yes	No
	✓

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer: *Usha R. Balakrishnan* Date: April 16, 2008

Usha R. Balakrishnan, President, CEO and Chairman of the Board

Type or print name and title

Paid Preparer's Use Only

Preparer's signature: _____ Date: _____ Check if self-employed:

Firm's name (or yours if self-employed), address, and ZIP + 4: _____ Preparer's SSN or PTIN (See Gen. Inst. X): _____

EIN: _____ Phone no.: _____

ATTACHMENT 1

Tax-Exempt Purpose of CARTHA: To provide a means of addressing complex global challenges in a way that more effectively improves the lives of the world's poor.

Chronic global problems in health, education, and social welfare demand that thinkers and doers—in academic and practice communities from multiple disciplines and regions—be linked in new multisectoral collaborations to generate innovative, pragmatic, culturally appropriate and sustainable solutions. CARTHA (“doer” in Sanskrit) is dedicated to cultivating a new type of professional—a *Collaborative Doer*—who will design and build the bridges to connect, activate, and leverage the stores of institutional resources, human capital, and scientific and technological prowess to advance global good.

CARTHA conducts educational activities (including but not limited to workshops, classes, seminars, symposiums, conferences, training programs, professional development, lectures, and curriculum development) in the following focus areas: Academic-Public-Private Technology Transfer Partnerships, Global Health and Corporate Social Responsibility. Many fields employ some form of multisectoral collaboration, and professionals acquire the related technical (legal and business) competencies. CARTHA aims to add the social dimension by developing and providing complementary educational and training programs. We work with others to design programs that get embedded into regular university seminars and conferencing venues of professional societies, and reach large audiences. These techniques have enabled us to attract, identify, and cultivate Collaborative Doers who arise from various professional sectors and academic disciplines. We provide models for understanding, facilitating, and communicating the work of Collaborative Doers to create greater equity and reduce economic and social disparities in local and global settings.

Incorporated in September 2006, CARTHA formed its volunteer team of Board of Directors and Council of Advisors from the US, Germany, Kenya, UK, and India. In 2007, with a growing network of volunteer advisors, we developed our C2G2SM Training Model: *Building Collaborative Competence (C2) within Multisector Partnerships for Global Good (G2)*. We also refined our program portfolio and designed some early pilot initiatives as described at <http://www.cartha.org/carthadocs/CARTHASummary.pdf>. With the receipt of our US IRS 501(c)(3) tax-exempt status in July 2007, we began to seek support for our core operations and programs.

We outline our major 2007 programs below.

1. CARTHA founder Usha R. Balakrishnan organized panels and/or participated as a speaker at the following conferences and events:
 - University of Iowa College of Pharmacy (February 2007)
 - Technology Managers for Global Health annual meeting, San Francisco (March 2007)
 - Association of University Technology Managers, San Francisco (March 2007)
 - Universal Forum of Cultures, Monterrey, Mexico (October 2007)
 - Vincentian Business Ethics Conference, DePaul University, Chicago (November 2007)
2. Three Fellows became affiliated with CARTHA: Blythe Burkhardt (St. Louis, USA); Alisha Apale (Montreal, Canada & Nairobi, Kenya); and Suzanne Hagan (Glasgow, UK).
3. Our travel stipend to CARTHA 2006 Student Intern Luke Juran enabled him (in part) to win a 2008 Rotary Ambassadorial Scholarship to study in Chennai, India.
4. CARTHA initiated the planning and design of a symposium “Humanity at the Nexus: Academic Partnerships and Entrepreneurship in Global Health” which was accepted for the 2008 annual meeting of the American Association for the Advancement of Science.

Please also refer to additional information on our website at www.cartha.org.

ATTACHMENT 2 to 2007 Tax Return for CARTHA
EIN 20-5547610

For Part IV, Line 63
Schedule detailing loans from officers, directors, trustees and key employees

DATE	AMOUNT	PERSON
2006 Total	\$ 11,096	Usha R. Balakrishnan
January 13, 2007	\$ 5,000	Usha R. Balakrishnan
May 12, 2007	\$ 5,000	Usha R. Balakrishnan
June 29, 2007	\$ 5,000	Usha R. Balakrishnan
October 10, 2007	\$ 5,000	Usha R. Balakrishnan
2007 Total	\$ 20,000	
GRAND TOTAL	\$ 31,096	